



New Shareholder Enrollment Packet for Gifting Recipients

Public Law 100-241, 1987 Amendments to the Alaska Native Claims Settlement Act (ANCSA) allows shareholders over the age of 18, who own stock in a corporation formed under the ANCSA to transfer shares of their class A and/or B common stock as an *inter vivos* (i.e. voluntary) gift to his or her child, grandchild, great-grandchild, niece, nephew, brother, or sister.

By law, the recipient of these shares must be Alaska Native and related to the shareholder by blood or adoption provided the adoption occurred before the child reached 18 years of age. There is no minimum blood quantum requirement for gifting recipients, though they must provide proof of their Alaska Native blood quantum.

Those ineligible to receive ANCSA shares via gifting include parents, grandparents, aunts, uncles, cousins, stepchildren, step-grandchildren, friends, spouses, and non-Alaska Natives.

The shares must be a true gift and cannot be bought, sold, or exchanged for anything of value. Once all the gifting and enrollment paperwork is completed and the shares transferred, the gifting transaction is irreversible. The gifting shareholder loses all rights to the shares gifted and any future dividends or distributions associated with the transferred shares will now belong to the person who received the shares.

Shareholders whose stock is currently subject to an attachment pursuant to a court order such as child support or a tax levy, are ineligible to gift their shares until that order has been satisfied.

Gifting Recipient Checklist (to be completed by recipient or if a minor, by their parent or legal guardian of the gifting recipient):

- Section 1: *Gifting Acceptance Affidavit**
- Section 2: *Shareholder Enrollment Form*
- Section 3: *Social Security Verification & Direct Deposit Form*
- Section 4: *Designation & Consent of Custodian for Minor Child Form**
- Section 5: Proof of Eligibility Documentation
- Section 6: Stock Will (for recipients over 18 years of age)

***Must be notarized by a Notary Public or Post Master.**



Gift Acceptance Affidavit

Completed by Gifting Recipient

Name of Gifting Recipient: _____
(First Name) (Middle Name) (Last Name) (Suffix)

Date of Birth: _____ Social security number: _____

Mailing address: _____

(City) (State) (Zip) Email: _____

Phone(s): Cell _____ Home _____ Work _____

If a minor, name of adult completing this affidavit: _____

Relationship with minor: _____

Under oath, I hereby depose as follows:

1. I understand that if the proposed gift is approved, I will receive _____ shares of Class A or B stock in Calista Corporation as a gift from _____.
2. I attest that I have not paid, transferred, or promised anything of value for these shares;
3. I understand that I have 90 days from the signature date of the donor to accept the gift, provide documentation to prove my eligibility as a gift recipient, and complete enrollment otherwise a new gifting request must be completed;
4. I understand that when the transfer is complete, I will have the following rights and responsibilities in regard to these shares:
 - a. I will be responsible for voting my shares at the Calista Corporation Annual Meeting either in person or by proxy;
 - b. I will be responsible for determining the future ownership of my shares by gifting or inheritance;
 - c. I will be entitled to receive distributions or dividends issues declared for Class A or B stock by Calista Corporation with the amount to be based on the number of shares I own;
 - d. I will be responsible for paying whatever taxes may be owed as a result of this gift of shares.
5. I understand that once transferred, the gifting transfer is irreversible; and
6. I understand that my signature below indicates my acceptance of the proposed stock gift and my request that Calista Corporation transfer the shares from the shareholder named above to me.

STOP: Do not sign this document until you are in the presence of a Notary Public or Post Master

Gifting Recipient Signature (or Parent or Legal Guardian if under 18)

Date

NOTARY BLOCK

SUBSCRIBED AND SWORN to me this month of _____, day of _____, 20_____.

State of _____; Judicial District, City, or County of _____.

Signature of Notary Public or Post Master

My commission expires: _____



New Shareholder Enrollment Application

Completed by Gifting Recipient

Is the gift recipient under 18 years of age? Yes No

If so, custodian's name and relationship _____

(If recipient is a minor, attached *Designation & Consent of Custodian for Minor Child* form must also be completed by adult completing enrollment for the minor child.)

Do you own stock in any other ANCSA Corporation(s)? Yes No

If yes, which ones (please list all)? _____

Place of Birth: _____
(village/city) (State) (Country)

Which Alaska Native group do you originate from or identify as your heritage (check all that apply)?

- Yup'ik Cup'ik Inupiaq Aleut Alutiiq Athabascan Eyak Tlingit
- Haida Tsimshian Other _____

What is your Alaska Native Blood Quantum?

- Full 3/4 1/2 1/4 1/8 1/16 1/32 Other _____

Gender: Male Female

Marital Status: Single Married Widowed

Father's Name: _____ Living Deceased

Corporation(s) father is enrolled to (if any): _____

Mother's name: _____ Living Deceased

Corporation(s) mother is enrolled to (if any): _____

Names and ages of brothers and sisters (if any): _____

Names and ages of children (if any): _____



Social Security Verification & Direct Deposit

Completed by Gifting Recipient

Social Security Number Verification

- 1) Provide a copy of your Social Security Card with your current legal name; or
- 2) If you are unable to provide a copy of your Social Security Card, complete the information below:

I, _____, under penalties of perjury, certify that:
(Print your name)

1. The social security number for (check one) Myself My child or ward

is _____ - _____ - _____.
(Print social security number)

2. My child or ward's name is: _____.
(Print child's full legal name)

3. The number shown on this form is my correct taxpayer identification number; and
4. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject backup withholding.

Direct Deposit Authorization

Please note, if the Shareholder listed on this enrollment application is not named on the account provided below, the bank or credit union may refuse the deposit. For verification, please enclose a voided check.

Account Type (Check one): Checking Savings

Bank or Credit Union Name: _____

Bank Routing Number: _____

Bank Account Number: _____

By providing the above banking information, I hereby authorize Calista Corporation to initiate credits (and/or to reverse or correct any credit entries made in error) to the account at the financial institution indicated on this form. The institution is hereby authorized to credit (and/or reverse or correct) the amounts to my account. This authorization is to remain in full force and effect until I cancel or change it with a signed, written request to Calista Corporation. I understand that if I fail to keep my address updated with Calista Corporation, my direct deposit may be canceled and I could be put on dividend hold. Calista Corporation reserves the right to discontinue direct deposit payments at any time and for any reason.

Gifting Recipient Signature (or Parent or Legal Guardian if under 18)

Date



Designation & Consent of Custodian for Minor Child

To be completed by Gifting Recipient Custodian

Alaska law requires that for ANCSA shareholders under the age of 18, stock must be issued in care of a custodian. The order of priority of custodian, which is subject to change per court order is: legal guardian, parent, or adult member of the family with whom the minor primarily resides. A custodian of a minor's ANCSA stock assumes various duties and powers including safekeeping the minor's stock certificate or equivalent and collecting dividend payments. Once the minor shareholder reaches the age of majority, the designated custodian will be automatically removed from the shareholder's stock record. This form replaces any designation previously filed at Calista Corporation and is not valid unless it is signed and dated by the custodian, notarized, and received by Calista Corporation.

Custodian's Full Name _____

Custodian's Date of Birth _____

I, _____ hereby consent to act as custodian for any shares of stock issues by Calista Corporation, for the minor listed below, pursuant to the Alaska Native Claims Settlement Act and the Alaska Uniform Transfer to Minors Act, A.S. 13.46.

Minor's Full Name _____

Minor's SSN _____ Minor's Date of Birth _____

I am over the age of 18, and am the minor's (check one):

- Mother Father Brother Sister Grandparent
 Aunt Uncle Court Appointed Guardian Other _____

Custodian's Mailing Address _____

(Street or PO Box)

(City) (State) (Zip) Phone _____

STOP: Do not sign this document until you are in the presence of a Notary Public or Post Master

I certify and affirm that there is no other person who has priority over me to act as custodian and who is willing to accept this appointment. If the minor is no longer in my care or someone with higher priority to be custodian is identified, I hereby resign as custodian of the minor's ANCSA stock.

Signature of Adult Custodian

Date

NOTARY BLOCK

SUBSCRIBED AND SWORN to me this month of _____, day of _____, 20_____.

State of _____; Judicial District, City, or County of _____.

Signature of Notary Public or Post Master

My commission expires: _____



Electronic Delivery & One Household Consent Form

In order to save paper and reduce printing and mailing costs, Calista Corporation offers Shareholders the following several options for receiving communications and materials from Calista electronically via email. Consent to receive materials in this manner is optional and you can revoke at any time by sending a signed and dated written notice to the Shareholder Services Department.

Electronic Delivery Consent

My primary email address is as follow: _____,
and I understand that it is my responsibility to keep my email address updated with Calista.

Please select one of the following options:

- Option 1 I authorize Calista to send me ALL communications and materials electronically via email including the *Storyknife* and Annual Meeting Materials.
- Option 2 I authorize Calista to send me ONLY the *Storyknife* newsletter electronically via email.
- Option 3 I authorize Calista to send me ONLY Annual Meeting materials electronically
- Option 4 I do not wish to receive materials electronically via email from Calista.

One Set of Annual Meetings per Household

I authorize Calista to send me one set of annual meeting materials per household. Please note that Calista will continue to send proxy forms to each Shareholder individually even if you check “Yes”. Also, Calista will continue to send a set of annual meeting materials to any Shareholder in your household who does not consent.

Yes No

To complete this form, sign and date below:

New Shareholder Signature (or Parent or Legal Guardian if under 18)

Date



Proof of Eligibility Documentation

Public Law 100-241, 1987 Amendments allows shareholders, over the age of 18, who own stock in a corporation formed under the Alaska Native Claims Settlement Act to transfer shares of their common stock as an *inter vivos* (i.e. voluntary) gift to his or her child, grandchild, great-grandchild, niece, nephew, brother, or sister. Calista Corporation verifies eligibility of descent through birth certificates and proof of Alaska Native Blood Quantum.

- 1) Birth Certificates: To determine which birth certificates that will be needed to verify eligibility, please see chart below.

Gift to donor's child	Gift to donor's Grandchild	Gift to your Great Grandchild	Gift to your Niece or Nephew	Gift to your sibling
Donor's child's birth certificate	Birth certificate of the child who is the parent of the donor's grandchild being gifted shares ↓ Grandchild's birth certificate	Birth certificate of the child who is grandparent to parent of child being gifted shares ↓ Birth certificate of the grandchild who is the parent of the great grandchild being gifted shares ↓ Great grandchild's birth certificate	Donor's birth certificate ↓ Donor's sibling's birth certificate who is parent to the niece or nephew being gifted shares ↓ Niece or nephew's birth certificate	Donor's birth certificate ↓ Donor's sibling's birth certificate

By law, the recipient of these shares must be Alaska Native and related to the shareholder by blood or adoption provided the adoption occurred before the child reached 18 years of age. There is no minimum blood quantum requirement for gifting recipients, though they must provide proof of their Alaska Native blood quantum.

- 2) Proof of Alaska Native Blood Quantum:
- Copy of Alaska Native tribal identification card
 - Copy of Bureau of Indian Affairs' Certificate of Degree of Indian Blood (CDIB)
- 3) Proof of Name Change—provide if name on birth certificate is different from name on gifting affidavit.
- Marriage certificate
 - Court order
 - Adoption decree